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Patent

Attorney's Docket No. 032751-05D002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the Patent Application of )  
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Carola RITTNER *et al.* )  
)  
)

Group Art Unit: 1633

Application No.: 09/865,553 )  
)  
)

Examiner: Unassigned

Filed: May 29, 2001 )  
)  
)

For: **COMPLEX FOR TRANSFERRING AN** )  
**ANIONIC SUBSTANCE OF** )  
**INTEREST INTO A CELL** )  
)

**INFORMATION DISCLOSURE STATEMENT**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

In accordance with the duty of disclosure as set forth in 37 C.F.R. § 1.56, Applicants hereby submit the following information in conformance with 37 C.F.R. §§ 1.97 and 1.98. Pursuant to 37 C.F.R. § 1.98, a copy of each of the documents cited is enclosed.

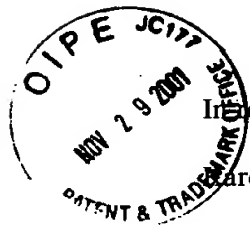
WO 96/40958;

*Gene Therapy*, Vol. 3, pp. 448-457 (1996);

*Biochemistry*, Vol. 36, pp. 3008-3017 (1997); and

Database Medline Abstract No. 10837761 XP002180094.

The documents are being submitted within 3 months of the filing or entry of the national stage of this application or before the first Office Action on the merits, whichever is later, therefore no fee or certification is required under 37 C.F.R. § 1.97(b).



To assist the Examiner, the documents listed on the attached form PTO-1449. It is respectfully requested that an Examiner initialed copy of this form be returned to the undersigned.

Respectfully submitted,

BURNS, DOANE, SWECKER & MATHIS, L.L.P.

By: \_\_\_\_\_

Teresa Stanek Rea  
Registration No. 30,427

P.O. Box 1404  
Alexandria, Virginia 22313-1404  
(703) 836-6620

**Date: November 29, 2001**